

PATENT

Docket No. IL-11186

Customer No

26857

**Commissioner for Patents
P.O. Box 1450, Alexandria, VA 22313-1450**

17497 U.S.PTO
10/676905



NEW APPLICATION TRANSMITTAL

17497

TRANSMITTAL
Transmitted herewith for filing is the patent application of Inventor(s):
Jay W. Dawson, Raymond J. Beach, Stephen A. Payne, Michael D. Feit, Christopher P.J. Barty, Zhi M. Liao

For (title): **FLATTENED MODE CYLINDRICAL AND RIBBON FIBERS AND
AMPLIFIERS**

1. Type of Application

- This new application is for an original patent

2. Benefit of Prior U.S. Application(s) (25 USC)

The new application being transmitted claims the benefit of prior U.S. application(s) listed below:

Application Serial No.

Filing Date

3. ■ Benefit under 35 U.S.C. 119(e) of United States provisional application(s) listed below: 60/475,550
Application Serial No. June 3, 2003 Filing Date

**— Benefit under 35 U.S.C.
below: 60/475,550**
Application Serial No.

June 3, 2003

Filing Date

4. Papers enclosed which are required for filing Date Under 37 CFR 1.53(b).
19 Pages of specification

19 Pages of specification

7 Pages of claims

1 Pages of abstract

10 Sheets of drawings

formal

5. Additional papers enclosed

- Preliminary Amendment
- Information Disclosure Statement
- Form PTO-1449

6. Declaration or oath

Enclosed and executed by

All the inventors

legal representative of inventor(s) 37 CFR 1.42 or 1.43

Not Enclosed

7. Assignment

An assignment of the invention to The Regents of the University of California.

Is enclosed

will follow

8. Certified Copy

Certified copy(ies) of application(s)

(country)	(application no.)	(filed)
(country)	(application no.)	(filed)

from which priority is claimed

is(are) attached.

will follow

9. Fee Calculation

CLAIMS AS FILED						
Type of Claim	Number Filed	Included in Basic Fee	Number Extra	Rate	Total Fee	
Total Claims	28	-20	= 8	x \$18	= \$ 144.00	
Independent Claims	2	-3	= 0	x \$84	= \$ 0.00	
				Multiple Claims	= \$	
				Basic Filing Fee	= \$	750.00
				Total	= \$	894.00

10. Small Entity Statement(s)

This is a filing by small entity under 37 CFR 1.9 and 1.27.

Filing Fee Calculation (50% of regular filing fee) \$ 447.00

11. Fee Payment

Not Enclosed
 Enclosed

Total Basic Filing Fees To Be Paid \$ 447.00

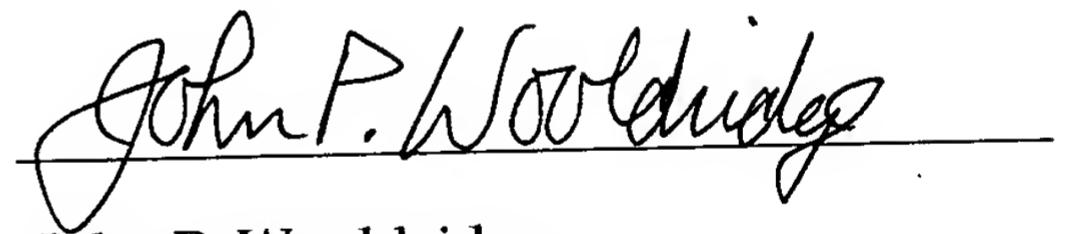
12. Method of Payment of Fees

Check in the Amount of .00
 Authorization is hereby \$447.00
given to deduct the total
fee from Deposit Account
501913

A duplicate of this transmittal is attached.

13. Instructions As To Overpayment/Underpayment

credit/charge
Account No. 501913
 refund



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Dated: September 29, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Jay W. Dawson et al.

Attorney Docket No. : IL-11186

Serial No. :

Art Unit:

Filed :

Examiner:

For : Flattened Mode Cylindrical And
Ribbon Fibers And Amplifiers

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

EXPRESS MAIL CERTIFICATE

"Express Mail" label number EV123472522US

Date of Deposit September 30, 2003

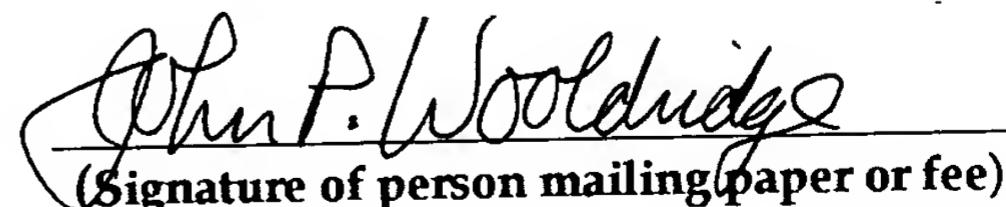
I hereby certify that the following *attached*

1. New Application Transmittal (3 pages) (in duplicate);
2. Recordation Cover Sheet (in duplicate);
3. Assignment (1 page);
4. Combined Declaration and Power of Attorney (3 pages);
5. Application:
(Specification 19 pages, Claims 7 pages, Abstract 1 page)
Ten (10) sheets of formal drawings;
6. Express Mail Certificate; and
7. Return postcard,

are being deposited with the United States Postal Service "Express Mail Post Office to addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to:
Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

John P. Wooldridge

(Type or print name of person mailing paper)


(Signature of person mailing paper or fee)